

FORM PTO 1449

SERIAL NO.

10/646,230

CASE NO.

14312US02

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

FILING DATE

August 22, 2003

GROUP ART UNIT

2681

(use several sheets if necessary)

APPLICANT(S): S. Chen

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | NAME | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|--------------------|------|------|--------------------|----------------|
|                     |                    |      |      |                    |                |
|                     |                    |      |      |                    |                |
|                     |                    |      |      |                    |                |
|                     |                    |      |      |                    |                |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
|---------------------|--------------------|------|---------|--------------------|-----------------------|
|                     |                    |      |         |                    |                       |
|                     |                    |      |         |                    |                       |
|                     |                    |      |         |                    |                       |
|                     |                    |      |         |                    |                       |
|                     |                    |      |         |                    |                       |

| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)  |
|---------------------|---|
| /DH/                | "Focus on OpenView A guide to Hewlett-Packard's Network and Systems Management Platform", Nathan J. Muller, pages 1-291, CBM Books, published 1995.   |
| /DH/                | "Client Server computing in mobile environments", J. Jing et al, ACM Computing Surveys, Vol. 31, Issue 2, pages 117-159, ACM Press, July, 1999.   |
| /DH/                | "ESW4: enhanced scheme for WWW computing in wireless communication environments", S. Hadjiefthymiades, et al, ACM SIGCOMM Computer Communication Review, Vol. 29, Issue 5, pages 24-35, ACM Press, October, 1999.               |
| /DH/                | "Introducing quality-of-service and traffic classes in wireless mobile networks", J. Sevanto, et al, Proceedings of the 1 <sup>st</sup> ACM international workshop on Wireless mobile multimedia, pages 21-29, ACM Press, 1998. |
| /DH/                | "Any Network, Any Terminal, Anywhere", A. Fasbender et al, IEEE Personal Communications, April 1999, pages 22-30, IEEE Press, 1999.   |
|                     |   |

EXAMINER

/Diego Herrera/

DATE CONSIDERED

04/30/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

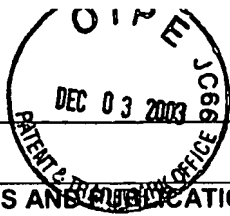


|   |                                |                        |
|---|--------------------------------|------------------------|
| FORM PTO-1449   | SERIAL NO.<br>10/646,230       | CASE NO.<br>14312US02  |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>August 22, 2003 | GROUP ART UNIT<br>2681 |
| (use several sheets if necessary)   |                                | APPLICANT(S): Chen     |

| REFERENCE DESIGNATION |  | U.S. PATENT DOCUMENTS |            |                   |                    |                |
|-----------------------|--|-----------------------|------------|-------------------|--------------------|----------------|
| EXAMINER<br>INITIAL   |  | DOCUMENT<br>NUMBER    | DATE       | NAME              | CLASS/<br>SUBCLASS | FILING<br>DATE |
| /DH/                  |  | 5,261,055             | 11/09/1993 | Moran et al.      | 395/275            | 02/19/1992     |
| /DH/                  |  | 5,442,771             | 08/15/1995 | Filepp et al.     | 395/650            | 11/26/1993     |
| /DH/                  |  | 5,479,637             | 12/26/1995 | Lisimaque et al.  | 395/430            | 08/28/1991     |
| /DH/                  |  | 5,579,522             | 11/26/1996 | Christeson et al. | 395/652            | 07/24/1995     |
| /DH/                  |  | 5,596,738             | 01/21/1997 | Pope              | 395/430            | 04/12/1995     |
| /DH/                  |  | 5,598,534             | 01/28/1997 | Haas              | 395/200.09         | 09/21/1994     |
| /DH/                  |  | 5,608,910             | 03/04/1997 | Shimakura         | 395/670            | 05/09/1994     |
| /DH/                  |  | 5,623,604             | 04/22/1997 | Russell et al.    | 395/200.1          | 11/18/1992     |
| /DH/                  |  | 5,666,293             | 09/09/1997 | Metz et al.       | 395/200.5          | 07/03/1995     |
| /DH/                  |  | 5,752,039             | 05/12/1998 | Tanimura          | 395/712            | 11/21/1994     |
| /DH/                  |  | 5,778,440             | 07/07/1998 | Yiu et al.        | 711/154            | 02/16/1996     |
| /DH/                  |  | 5,790,974             | 08/04/1998 | Tognazzini        | 701/204            | 04/29/1996     |
| /DH/                  |  | 5,878,256             | 03/02/1999 | Bealkowski et al. | 395/652            | 10/16/1991     |
| /DH/                  |  | 5,960,445             | 09/28/1999 | Tamori et al.     | 707/203            | 04/17/1997     |
| /DH/                  |  | 6,009,497             | 12/28/1999 | Wells et al.      | 711/103            | 11/09/1998     |
| /DH/                  |  | 6,038,636             | 03/14/2000 | Brown, III et al. | 711/103            | 04/27/1998     |
| /DH/                  |  | 6,064,814             | 05/16/2000 | Capriles et al.   | 395/701            | 11/13/1997     |
| /DH/                  |  | 6,073,206             | 06/06/2000 | Piwonka et al.    | 711/102            | 04/30/1998     |
| /DH/                  |  | 6,073,214             | 06/06/2000 | Fawcett           | 711/133            | 09/09/1998     |
| /DH/                  |  | 6,088,759             | 07/11/2000 | Hasbun et al.     | 711/103            | 06/30/1997     |
| /DH/                  |  | 6,105,063             | 08/15/2000 | Hayes, Jr.        | 709/223            | 05/05/1998     |
| /DH/                  |  | 6,112,024             | 08/29/2000 | Almond et al.     | 395/703            | 10/02/1996     |
| /DH/                  |  | 6,112,197             | 08/29/2000 | Chatterjee et al. | 707/3              | 05/29/1998     |
| /DH/                  |  | 6,126,327             | 10/03/2000 | Bi et al.         | 395/200.51         | 10/16/1995     |
| /DH/                  |  | 6,128,695             | 10/03/2000 | Estakhri et al.   | 711/103            | 09/18/1998     |
| /DH/                  |  | 6,157,559             | 12/05/2000 | Yoo               | 365/52             | 06/12/1998     |
| /DH/                  |  | 6,163,274             | 12/19/2000 | Lindgren          | 340/825.44         | 09/04/1997     |
| /DH/                  |  | 6,198,946             | 03/06/2001 | Shin et al.       | 455/561            | 11/20/1998     |
| /DH/                  |  | 6,279,153             | 08/21/2001 | Bi et al.         | 717/11             | 01/15/1997     |
| /DH/                  |  | 6,311,322             | 10/30/2001 | Ikeda et al.      | 717/1              | 03/05/1999     |
| /DH/                  |  | 6,438,585             | 08/20/2002 | Mousseau et al.   | 709/206            | 01/16/2001     |
|                       |  |                       |            |                   |                    |                |
|                       |  |                       |            |                   |                    |                |
| /DH/                  |  | 2001/0029178          | 10/11/2001 | Criss et al.      | 455/419            | 06/07/2001     |
| /DH/                  |  | 2001/0047363          | 11/29/2001 | Peng              | 707/104.1          | 02/01/2001     |
| /DH/                  |  | 2001/0048728          | 12/06/2001 | Peng              | 375/354            | 02/01/2001     |
| /DH/                  |  | 2002/0078209          | 06/20/2002 | Peng              | 709/227            | 12/15/2000     |

|                             |                               |
|-----------------------------|-------------------------------|
| EXAMINER<br>/Diego Herrera/ | DATE CONSIDERED<br>04/30/2007 |
|-----------------------------|-------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



|   |                                |                        |
|---|--------------------------------|------------------------|
| FORM PTO-1449   | SERIAL NO.<br>10/646,230       | CASE NO.<br>14312US02  |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>August 22, 2003 | GROUP ART UNIT<br>2681 |
| (use several sheets if necessary)   |                                | APPLICANT(S): Chen     |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE       | NAME             | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|--------------------|------------|------------------|--------------------|----------------|
| /DH/                | 2002/0116261       | 08/22/2002 | Moskowitz et al. | 705/14             | 02/20/2001     |
| /DH/                | 2002/0131404       | 09/19/2002 | Mehta et al.     | 370/352            | 11/28/2001     |
| /DH/                | 2002/0152005       | 10/17/2002 | Bagnordi         | 700/234            | 04/12/2001     |
| /DH/                | 2002/0156863       | 10/24/2002 | Peng             | 709/217            | 04/23/2001     |
| /DH/                | 2002/0157090       | 10/24/2002 | Anton, Jr.       | 717/178            | 04/20/2001     |
| /DH/                | 2003/0033599       | 02/13/2003 | Rajaram et al.   | 717/173            | 08/10/2001     |
| /DH/                | 2003/0037075       | 02/20/2003 | Hannigan et al.  | 707/500            | 05/02/2002     |
| /DH/                | 2003/0061384       | 08/27/2003 | Nakatani         | 709/245            | 09/25/2001     |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
|---------------------|--------------------|------------|---------|--------------------|-----------------------|
| /DH/                | 8202626            | 08/09/1996 | Japan   |                    | X                     |
| /DH/                | 2,339,923          | 03/02/2000 | Canada  |                    |                       |
| /DH/                | 2002-0034228       | 05/09/2000 | Korea   |                    | X                     |
| /DH/                | 2001-0100328       | 11/14/2001 | Korea   |                    | X                     |
|                     |                    |            |         |                    |                       |
|                     |                    |            |         |                    |                       |
|                     |                    |            |         |                    |                       |

| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |
|---------------------|--|
|                     |  |
|                     |  |
|                     |  |
|                     |  |
|                     |  |
|                     |  |

|                          |                            |
|--------------------------|----------------------------|
| EXAMINER /Diego Herrera/ | DATE CONSIDERED 04/30/2007 |
|--------------------------|----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.